



Hilltop Securities Inc. Required Documentation for Updating an Account

Account Type	Change To or Update	Forms Required
Individual	Joint	Letter of Authorization New Account Application signed by both account holders
	Trust	Letter of Authorization New Account Application Certification of Trust Form
	Sole Proprietor	Letter of Authorization New Account Application Sole Proprietor Certification Form Beneficial Owner Form
		Letter of Authorization New Account Application Affidavit Of Domicile (Hilltop's form)
	Estate	Letters Of Testamentary Certified Death Certificate
Custodial	Individual	Letter of Authorization New Account Application Must have either Certified Birth Certificate, Driver's License, or Passport
Joint	Individual	Letter of Authorization signed by both account holders to remove the co- applicant only. (The primary holder cannot be removed due to tax Reporting reasons. A new account will need to be established) New Account Application
	Other Joint Type	Letter of Authorization New Account Application (JTWROS to Tenants In Common, update account with percentage if different than 50%)
	Trust	Letter of Authorization New Account Application (signed by both applicants) Certification of Trust Form Copy of Tax ID Number filed with the IRS (if applicable)